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Date of Deposit: April 30, 2002

Attorney's Docket No.: E00378.70181/JHM/DPM

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven A. Pettit et al  
Serial No: 10/071,228  
Filed: February 8, 2002  
For: CREATING, MODIFYING AND STORING SERVICE  
ABSTRACTIONS AND ROLE ABSTRACTIONS REPRESENTING  
ONE OR MORE PACKET RULES  
Confirmation No.: 9237  
Examiner: Not Yet Assigned  
Art Unit: 2661

**BOX: MISSING PARTS**

Commissioner For Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated March 7, 2002, transmitted herewith are the following document(s):

- ☒ Notice to File Missing Parts, Part 2
- ☒ Executed Declaration
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. The Commissioner is hereby authorized and instructed to charge the fee of \$1650 to Deposit Account No. 50/1127. If the fee is insufficient, the Commissioner is hereby authorized to charge the balance to Deposit Account No. 50/1127. A duplicate of this sheet is enclosed.

Respectfully submitted,  
**Steven A. Pettit et al., Applicant(s)**

Daniel P. McLoughlin, Reg. No.: 46,066  
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Boston, Massachusetts 02210-2211  
Attorney for Applicant(s)

Docket No. E00378.70181/JHM/DPM  
Date: April 30, 2002  
xMay 7, 2002x



## UNITED STATES PATENT AND TRADEMARK OFFICE

APR 30 2002

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 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,228	02/08/2002		E00378.70181/JHM/DPM

CONFIRMATION NO. 9237

## FORMALITIES LETTER



\*OC000000007598397\*

 23628  
 WOLF GREENFIELD & SACKS, PC  
 FEDERAL RESERVE PLAZA  
 600 ATLANTIC AVENUE  
 BOSTON, MA 02210-2211

Date Mailed: 03/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$780.
  - \$360 for 20 total claims over 20.
  - \$420 for 5 independent claims over 3.
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1650.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2002 YVLT1E1 0000091 501127 10071228

 01 FC:101 740.00 CH  
 02 FC:102 420.00 CH  
 03 FC:103 360.00 CH  
 04 FC:105 130.00 CH